

## Annotated sample XML schema (file sample.xsd)

The quantum-simulation.org sample XML Schema defines the allowed content of sample documents according to the <http://www.quantum-simulation.org> specification. Validating XML parsers (such as Apache Xerces-C) use the XML Schema file “sample.xsd” to verify the correctness of sample documents.

### XML header

```
<?xml version="1.0"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema"
  xmlns:fpmd="http://www.quantum-simulation.org/ns/fpmd/fpmd-1.0"
  targetNamespace="http://www.quantum-simulation.org/ns/fpmd/fpmd-1.0">

  <annotation>
    <documentation> $Id: sample.xsd,v 1.12 2008-03-07 20:05:01 fgygi Exp $
      http://www.quantum-simulation.org
      FPMD sample XML Schema specification.
      Copyright (c) 2006-2008 The Regents of the University of California.
    </documentation>
  </annotation>
```

The header section contains the XML declaration of the `<schema>` element and the definition of the XMLSchema and fpmd namespaces. The `<annotation>` section describes the version of the species Schema specification. The current version is 1.12 .

### Include species.xsd

```
<include schemaLocation="species.xsd"/>
```

The included file “species.xsd” contains the XML Schema for species documents, i.e. documents describing atomic species and pseudopotentials. It is included in the sample XML Schema since `<species>` elements are used in `<sample>` elements.

### <sample> element

```
<element name="sample" type="fpmd:sampleType"/>
<complexType name="sampleType">
  <sequence>
    <element name="description" type="string" minOccurs="0"
maxOccurs="1"/>
    <element name="atomset" type="fpmd:atomsetType" minOccurs="0"/>
    <element name="wavefunction" type="fpmd:wavefunctionType"
minOccurs="0"/>
    <element name="wavefunction_velocity"
      type="fpmd:wavefunctionType" minOccurs="0"/>
  </sequence>
</complexType>
```

The `<sample>` element consists of a sequence of a `<description>` element, an `<atomset>` element, a `<wavefunction>` element and a `<wavefunction_velocity>` element. All these sub-elements are optional. The `<description>` element may contain any information about the sample in the form of a character string of arbitrary length (it can include newline characters). The choice of contents is left to application implementers. The `<description>` may typically include the name and version of the code that generated the sample as well as its creation date. Code-specific parameters used to generate the

sample may also be included. There is no constraint on the contents of this element apart from the XML rules regarding character strings.

The `<atomset>` element is declared to be of type `atomsetType`. Both `<wavefunction>` and `<wavefunction_velocity>` elements are declared to be of type `wavefunctionType`. These types are described below.

### ***atomsetType definition***

```
<complexType name="atomsetType">
  <sequence minOccurs="0">
    <element name="unit_cell" type="fpmd:domainType"
      minOccurs="0"/>
    <element name="species" type="fpmd:speciesType"
      minOccurs="0" maxOccurs="unbounded"/>
    <element name="atom" type="fpmd:atomType"
      minOccurs="0" maxOccurs="unbounded"/>
  </sequence>
  <attribute name="href" type="anyURI" use="optional"/>
</complexType>
```

The `<atomset>` element is of type `atomsetType`. It is used to define the unit cell parameters, the atomic species names, and the atomic positions and velocities. An `<atomset>` is defined as a sequence of a `<unit_cell>` element followed by an arbitrary number of `<species>` elements, and an arbitrary number of `<atom>` elements. An optional `href` attribute can be used to replace the contents of the `<atomset>` with a link to another document. [Note to implementers: The contents of the `<atomset>` elements should be ignored if an `href` attribute is present.] The type `speciesType` is defined in the `species.xsd` document which is included in this document using the `<include>` element described above. The `<species>` element may consist of a complete definition of the species, or point to the URI of a species document using the `href` attribute, for example a local file:

```
<species name="carbon" href="C_LDA.xml"/>
```

or a web-accessible document:

```
<species name="carbon" href="http://example.com/potentials/C/C_LDA.xml"/>
```

### ***atomType definition***

```
<complexType name="atomType">
  <sequence>
    <element name="position" type="fpmd:d3vectorType"/>
    <element name="velocity" minOccurs="0" type="fpmd:d3vectorType"/>
  </sequence>
  <attribute name="name" type="NMTOKEN" use="required"/>
  <attribute name="species" type="NMTOKEN" use="required"/>
</complexType>
```

An `<atom>` element is of type `atomType`. An `<atom>` element must have a `<position>` element and can optionally have a `<velocity>` element. Both `<position>` and `<velocity>` are 3-vectors, defined in the type `d3vectorType` below. The `<atom>` element must have a `name` attribute, and must have a `species` attribute. The atom name and species must be valid html NMTOKENs. This means that they must contain only alphanumeric characters and numbers, and must not start with a number. The most commonly used atom names are made of the element symbol followed by a number (e.g. “Na17”),

although any valid NMTOKEN sequence is admissible.

## **wavefunctionType definition**

```
<complexType name="wavefunctionType">
  <sequence minOccurs="0">
    <element name="domain" type="fpmd:domainType"/>
    <element name="reference_domain" minOccurs="0"
type="fpmd:domainType"/>
    <element name="grid" type="fpmd:gridType"/>
    <element name="slater_determinant" type="fpmd:slater_determinantType"
maxOccurs="unbounded"/>
  </sequence>
  <attribute name="ecut" type="fpmd:nonNegativeDouble" use="optional"/>
  <attribute name="nspin" type="fpmd:nspinType" use="required"/>
  <attribute name="nel" type="nonNegativeInteger" use="required"/>
  <attribute name="nempty" type="nonNegativeInteger" default="0"/>
  <attribute name="href" type="anyURI" use="optional"/>
</complexType>
```

Both the `<wavefunction>` and `<wavefunction_velocity>` elements are of type `wavefunctionType`. A `<wavefunction>` element consists of a `<domain>` element delimiting the region of space on which the wavefunctions are defined. This domain may or may not correspond to the unit cell defined in the `<atomset>` element. The freedom to define the wavefunction domain independently of the atomset unit cell is given to allow for situations in which only a part of the system is described quantum mechanically. The optional `<reference_domain>` element defines a domain that encloses the `<domain>` during variable cell molecular dynamics simulations. The `<grid>` element defines a grid covering the `<domain>`. A `<wavefunction>` element contains at least a `<slater_determinant>` element. Each `<slater_determinant>` defines the one-electron wavefunctions at a given k-point and for a given spin. The optional `<ecut>` element is given as a hint for plane-wave codes to specify the size of a plane-wave basis that accomodates the dimensions of the `<grid>`. The remaining elements specify the total number of spin components of the wavefunction, the total number of electrons and the number of empty states.

## **domainType**

```
<complexType name="domainType">
  <attribute name="a" type="fpmd:d3vectorType" use="required"/>
  <attribute name="b" type="fpmd:d3vectorType" use="required"/>
  <attribute name="c" type="fpmd:d3vectorType" use="required"/>
</complexType>
```

The type `domainType` consists of three 3-vectors and is used to define domains in real space. The unit cell is an example of element of type `domainType`.

## **gridType**

```
<complexType name="gridType">
  <attribute name="nx" type="positiveInteger" use="required"/>
  <attribute name="ny" type="positiveInteger" use="required"/>
  <attribute name="nz" type="positiveInteger" use="required"/>
</complexType>
```

The type `gridType` defines the size of the grid covering the wavefunction domain.

## ***slater\_determinantType***

```
<complexType name="slater_determinantType">
  <sequence minOccurs="0">
    <element name="density_matrix" type="fpmd:density_matrixType"/>
    <element name="grid_function" type="fpmd:grid_functionType"
      maxOccurs="unbounded"/>
  </sequence>
  <attribute name="spin" type="fpmd:spinType" use="optional"/>
  <attribute name="kpoint" type="fpmd:d3vectorType" use="required"/>
  <attribute name="weight" type="double" use="required"/>
  <attribute name="size" type="positiveInteger" use="required"/>
  <attribute name="href" type="anyURI" use="optional"/>
</complexType>
```

The type `slater_determinantType` is a collection of `<grid_function>` elements, and describes a set of one particle orbitals. It includes a `<density_matrix>` element that contains occupation numbers. The `spin`, `kpoint`, `weight` and `size` attributes further define the `<slater_determinant>` element. The element can be replaced by a reference to another URI using the `href` attribute.

## ***nspinType***

```
<simpleType name="nspinType">
  <restriction base="integer">
    <minInclusive value="1"/>
    <maxInclusive value="2"/>
  </restriction>
</simpleType>
```

## ***spinType***

```
<simpleType name="spinType">
  <restriction base="string">
    <enumeration value="up"/>
    <enumeration value="down"/>
  </restriction>
</simpleType>
```

## ***d3vectorType***

```
<simpleType name="d3vectorType">
  <restriction>
    <simpleType>
      <list itemType="double"/>
    </simpleType>
    <length value="3"/>
  </restriction>
</simpleType>
```

## ***vectorType***

```
<complexType name="vectorType">
  <simpleContent>
    <extension base="string">
      <attribute name="type" type="fpmd:numericType" use="required"/>
      <attribute name="size" type="positiveInteger" use="required"/>
      <attribute name="encoding" type="fpmd:encodingType" use="required"/>
    </extension>
  </simpleContent>
</complexType>
```

## ***numericType***

```
<simpleType name="numericType">
  <restriction base="string">
    <enumeration value="double"/>
    <enumeration value="complex"/>
  </restriction>
</simpleType>
```

## ***encodingType***

```
<simpleType name="encodingType">
  <restriction base="string">
    <enumeration value="text"/>
    <enumeration value="base64"/>
  </restriction>
</simpleType>
```

## ***grid\_functionType***

```
<complexType name="grid_functionType">
  <simpleContent>
    <extension base="string">
      <attribute name="type" type="fpmd:numericType" use="required"/>
      <attribute name="nx" type="positiveInteger" use="required"/>
      <attribute name="ny" type="positiveInteger" use="required"/>
      <attribute name="nz" type="positiveInteger" use="required"/>
      <attribute name="x0" type="nonNegativeInteger" default="0"/>
      <attribute name="y0" type="nonNegativeInteger" default="0"/>
      <attribute name="z0" type="nonNegativeInteger" default="0"/>
      <attribute name="encoding" type="fpmd:encodingType" use="required"/>
      <attribute name="href" type="anyURI" use="optional"/>
    </extension>
  </simpleContent>
</complexType>
```

The type `grid_functionType` defines a one-electron orbital by giving its value on a subset of the `<grid>` defined in the `<wavefunction>` element. The numeric type (double or complex) is specified by the `type` attribute. The `nx`, `ny`, `nz`, `x0`, `y0`, `z0` attributes define the subset of the grid on which the orbital is defined. The subset of the grid has its origin at position  $(x_0, y_0, z_0)$  in the grid, and has a size  $(nx, ny, nz)$ . The encoding attribute (text or base64) is required to specify the type of encoding used for the data. The body of the `<grid_function>` element consists of a string that contains either a list of formatted values (if `encoding="text"`) or base64-encoded data (if `encoding="base64"`). The order of the elements corresponds to the following loop order:

```
for ( int k = 0; k < nz; k++ )
  for ( int j = 0; j < ny; j++ )
    for ( int i = 0; i < nx; i++ )
      write(f(i,j,k));
```

The base64 encoded data must be consistent with a little-endian byte ordering. It is the responsibility of the application to convert the data on a big-endian architecture. This constraint ensure portability of sample documents across architectures of varying byte-ordering.

## ***density\_matrixType***

```
<complexType name="density_matrixType">
  <simpleContent>
    <extension base="fpmd:doubleListType">
      <attribute name="form" type="fpmd:density_matrix_formType"
use="required"/>
      <attribute name="size" type="positiveInteger" use="required"/>
      <attribute name="href" type="anyURI" use="optional"/>
    </extension>
  </simpleContent>
</complexType>
```

The type `density_matrixType` defines the matrix of occupation numbers of the orbitals in a `slater_determinant` element. The `form` attribute can have the values “full” or “diagonal”, in which case the density matrix is defined by all its elements or only its diagonal elements, respectively.

## ***density\_matrix\_formType***

```
<simpleType name="density_matrix_formType">
  <restriction base="string">
    <enumeration value="full"/>
    <enumeration value="diagonal"/>
  </restriction>
</simpleType>
</schema>
```